

# PENALTY ABATEMENT QUESTIONNAIRE

Name: \_\_\_\_\_ Daytime Phone: ( ) \_\_\_\_\_

Address: \_\_\_\_\_

Social Security # : \_\_\_\_\_ Spouse's SS # : \_\_\_\_\_

Employer Identification Number : (if applicable) \_\_\_\_\_

1. What years/quarters do you, or did you owe these taxes? \_\_\_\_\_

2. Have they been paid? ( ) YES ( ) NO

If yes, when were they paid? \_\_\_\_\_

**WE NEED YOU TO TELL US THE STORY OF "WHAT HAPPENED" DURING THE TAX YEARS YOU OWE FOR. USE THIS QUESTIONNAIRE ONLY AS AN OUTLINE. INCLUDE AS MANY DETAILS AS POSSIBLE, eg. Dates, Names, Places.**

Embezzlement / Theft What year(s)? \_\_\_\_\_

Was a police report filed? ( ) Yes ( ) No

Did you prosecute the suspected employee? ( ) Yes ( ) No

Was the suspect punished by the authorities? ( ) Yes ( ) No

Fire/ Flood/ Windstorm/ Riot/ Disasters out of your Control? What year(s)? \_\_\_\_\_

Were you in a Federal Disaster Area? ( ) Yes ( ) No

Bad Accounting Advice Name of accountant? \_\_\_\_\_

Where did they practice? \_\_\_\_\_

What did they do to get you in trouble? \_\_\_\_\_

When did you hire them? \_\_\_\_\_

Did you discontinue using them? ( ) Yes ( ) No What year \_\_\_\_\_

Destroyed/ Lost Records How did they get destroyed/lost? \_\_\_\_\_

What tax years did they pertain to? \_\_\_\_\_

Serious Injury/ Ailment Who? \_\_\_\_\_ What year(s) \_\_\_\_\_

Explain injury and length of disability \_\_\_\_\_

Bankruptcy What year(s)? \_\_\_\_\_ Which Chapter? \_\_\_\_\_

What caused your financial troubles? \_\_\_\_\_

- Death  
Who passed away? \_\_\_\_\_ What year? \_\_\_\_\_
- Divorce/ Separation  
How long was the process? \_\_\_\_\_  
When was it finalized? \_\_\_\_\_  
Were the settlement/court proceedings financially overwhelming? \_\_\_\_\_
- Drug/Alcohol Problem  
Who? \_\_\_\_\_ What year(s)? \_\_\_\_\_  
Did you go thru recovery program? \_\_\_\_\_  
What year did you/they sober up? \_\_\_\_\_
- Caring for someone else  
Who? \_\_\_\_\_ What year? \_\_\_\_\_  
Why? \_\_\_\_\_  
How did it affect your income? \_\_\_\_\_
- Retired/ fixed income
- Mental Depression/stress  
What kind of psychological affliction? \_\_\_\_\_  
Did you seek treatment? \_\_\_\_\_  
What year were you treated? \_\_\_\_\_
- Unemployment  
What years? \_\_\_\_\_  
Why? \_\_\_\_\_
- First-time Filer (new tax form) What year? \_\_\_\_\_
- First-time subcontractor What year? \_\_\_\_\_
- Litigation/ Lawsuit  
What year? \_\_\_\_\_ Why? \_\_\_\_\_  
\_\_\_\_\_  
What was the outcome? \_\_\_\_\_

1. Do you owe this liability jointly with a spouse from whom you are currently **estranged**? \_\_\_\_\_  
If yes, did you benefit from his/her income in the tax years in question? \_\_\_\_\_
2. Would you consider yourself innocent of owing this liability? Why? \_\_\_\_\_  
\_\_\_\_\_
3. If none of the above apply, how did you get yourself into tax trouble? \_\_\_\_\_  
\_\_\_\_\_